G.N. 2662

SECURITIES AND FUTURES ORDINANCE (Chapter 571) (the 'SFO')

(Notice under section 402)

NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED UNDER SECTION 56 OF THE SECURITIES AND FUTURES (FINANCIAL RESOURCES) RULES (Chapter 571N) (the 'FRR')

Notice is hereby given that pursuant to section 402 of the SFO, the Securities and Futures Commission (the 'Commission') specifies that, with effect from 1 July 2025, the electronic form published on the Commission's website (https://www.sfc.hk/en/Forms/Intermediaries/ Financial-returns) shall be used for the purpose of submitting a return to the Commission required under section 56 of the FRR in respect of any period ending on or after 23 June 2025 and supersede the electronic form specified in the notice gazetted on 23 August 2024 (G.N. 4894) for the same purpose.

2 May 2025

Eric YIP Executive Director, Intermediaries Securities and Futures Commission